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TITLE OF INVENTION

A VIPOX VECTOR CODING AN HIV ANTIGEN AND A CYTOKINE

APPLICANT(S) FOR DO/EO/US

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).


Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: PCT Request; PCT International Search Report; Notification of Receipt of Demand by Competent International Preliminary Examining Authority; Notification of Transmittal of International Preliminary Examination; Notification of the Recording of a Change; Notice Informing the Applicant of the Communication of the International Application to the Designated Offices; Sequence Listing-Computer Readable Form; return receipt postcard.

## CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on May 8, 2001.

*Manita Luce*

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) to be assigned <div style="font-size: 24pt; font-weight: bold; margin-top: 10px;">09/831307</div>		INTERNATIONAL APPLICATION NO. PCT/AU99/00989		ATTORNEY'S DOCKET NUMBER: 229752001400	
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provision of PCT Article 33(1)-(4) .....\$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00				<b>CALCULATIONS PTO USE ONLY</b>	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	46 - 20 =	26	x \$18.00	\$468.00	
Independent claims	2 - 3 =	0	x \$80.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$270.00	
TOTAL OF ABOVE CALCULATIONS =				\$1598.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$1598.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0.00
TOTAL NATIONAL FEE =				\$1598.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$0.00
TOTAL FEES ENCLOSED =				\$1598.00	
				Amount to be refunded:	\$0
				charged:	\$0
a. <input checked="" type="checkbox"/> A check in the amount of \$1,598.00 to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to <u>Deposit Account No. 03-1952</u> . A duplicate copy of this sheet is enclosed.  <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive          (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>  SEND ALL CORRESPONDENCE TO:  Barry E. Bretschneider Morrison & Foerster LLP 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888					
 SIGNATURE				Barry E. Bretschneider Registration No. 28,055	

A VIPOX VECTOR CODING AN HIV ANTIGEN AND A CYTOKINE**FIELD OF THE INVENTION**

5

The present invention relates generally to recombinant viral constructs expressing a protective antigen together with a cytokine and to vaccine compositions comprising same. In particular, the present invention is directed to a recombinant viral construct capable of inducing an immune response to an HIV antigen and, most particularly an HIV-1 antigen.

- 10 The present invention is useful, *inter alia*, in the therapeutic and/or prophylactic treatment of HIV.

**BACKGROUND OF THE INVENTION**

- 15 Bibliographic details of the publications numerically referred to in this specification are collected at the end of the description.

There is currently no effective method of treating HIV infection. Current treatment strategies can suppress plasma HIV-1 RNA levels to very low levels, however latently

- 20 infected cells harbouring HIV-1 DNA remain detectable and viral resistance and relapse is common [1,2]. Treatment-induced reductions in HIV-1 levels results in a loss of antigenic stimulus for effective immune responses. HIV-specific cytotoxic T lymphocyte (CTL) responses, thought to be a critical effector mechanism in the control of HIV-1, decline to low levels following effective anti-HIV therapy [3].

25

Previous trials of therapeutic HIV-1 vaccines have shown that it is possible to stimulate anti-HIV immune responses in HIV-1 infected individuals, but no clinical benefit has been demonstrated [4-6]. Prior studies have used protein-based HIV-1 vaccines incapable of inducing CTL responses or vaccinated individuals with substantial levels of replicating

- 30 HIV-1. Even moderate levels of replicating HIV-1 results in a loss of HIV-specific CD4<sup>+</sup> T-helper (Th) responses which are required to initiate and sustain an effective CTL

- 2 -

response [7].

Additionally, no preventative HIV vaccines currently exist. Although simple recombinant avipox vaccines (without co-expression of cytokines) can induce CTL responses in a  
5 proportion of human and non-human primate subjects, the response is often weak, transient, or non-existent. There is a need for more reliable vaccine vectors for the induction of HIV specific CTL and Th responses.

In work leading up to the present invention, the inventors have determined that the  
10 magnitude and phenotype of the specific immune response to HIV can be enhanced by vaccination with a recombinant fowl pox virus construct comprising both an HIV gag/pol encoding nucleic acid molecule and a cytokine encoding nucleic acid molecule, in particular, IFN- $\gamma$ .

## 15 SUMMARY OF THE INVENTION

Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising",  
will be understood to imply the inclusion of a stated integer or step or group of integers or  
20 steps but not the exclusion of any other integer or step or group of integers or steps.

The subject specification contains nucleotide sequence information prepared using the programme PatentIn Version 2.0, presented herein after the bibliography. Each nucleotide sequence is identified in the sequence listing by the numeric indicator <210> followed  
25 by the sequence identifier (e.g. <210> 1, <210> 2, etc). The length, type of sequence (DNA, etc) and source organism for each nucleotide sequence are indicated by information provided in the numeric indicator fields <211>, <212> and <213>, respectively. Nucleotide sequences referred to in the specification are defined by the information provided in numeric indicator field <400> followed by the sequence identifier (e.g.  
30 <400> 1, <400> 2, etc).

- 3 -

One aspect of the present invention provides a recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding one or more HIV antigens or derivatives thereof and a second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said  
5 recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said HIV antigen.

Another aspect of the present invention there is provided a recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a  
10 first nucleic acid molecule encoding one or more HIV-1 antigens or derivatives thereof and a second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said HIV-1 antigen.

15 Yet another aspect of the present invention provides a recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag and/or Pol or derivatives thereof and the second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise  
20 stimulating an immune response to said Gag and/or Pol.

Still yet another aspect of the present invention provides a recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag and/or Pol or derivatives thereof and a  
25 second nucleic acid molecule encoding interferon- $\gamma$  or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said Gag and/or Pol.

A further aspect of the present invention provides a recombinant viral construct,  
30 comprising a fowl pox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag and/or Pol or derivatives thereof and a

- 4 -

second nucleic acid molecule encoding interferon- $\gamma$  of functional equivalent thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said Gag and/or Pol.

- 5 Another further aspect of the present invention relates to a vaccine comprising a recombinant viral construct which construct comprises a avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding one or more HIV-antigens or derivatives thereof and a second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said recombinant viral construct is  
10 effective in inducing, enhancing or otherwise stimulating an immune response to said HIV-antigens.

Still another further aspect of the present invention provides a vaccine comprising a recombinant viral construct which construct comprises an avipox viral vector or functional  
15 derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag and/or Pol or derivatives thereof and a second nucleic acid molecule encoding interferon  $\gamma$  or functional derivative thereof wherein said vaccine is effective in inducing, enhancing or otherwise stimulating an immune response to said Gag and/or Pol.

- 20 Still yet another aspect of the present invention provides a pharmaceutical composition for use in inducing, enhancing or otherwise stimulating an immune response to HIV in a mammal comprising a recombinant viral construct as hereinbefore defined and one or more pharmaceutically acceptable carriers and/or diluents. The composition may also comprise the recombinant viral construct together with a known antiviral compound or  
25 molecule.

Still yet another further aspect of the present invention provides a method of inducing, enhancing or otherwise stimulating an immune response, in a mammal, to HIV said method comprising administering to said mammal an effective amount of a vaccine as  
30 hereinbefore defined, for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

- 5 -

Still another aspect of the present invention provides a method of inducing, enhancing or otherwise stimulating an immune response, in a mammal, to HIV said method comprising administering to said mammal an effective amount of a recombinant viral construct as hereinbefore defined for a time and under conditions sufficient to induce, enhance or  
5 otherwise stimulate an immune response to one or more HIV antigens.

Yet another aspect of the present invention provides a method of treating a mammal, said method comprising administering to said mammal an effective amount of a vaccine as hereinbefore defined for a time and under conditions sufficient to induce, enhance or  
10 otherwise stimulate an immune response to one or more HIV antigens.

Yet another aspect of the present invention provides a method of treating a mammal, said method comprising administering to said mammal an effective amount of a recombinant viral construct as hereinbefore defined for a time and under conditions sufficient to induce,  
15 enhance or otherwise stimulate an immune response to one or more HIV antigens.

Yet another aspect of the present invention provides a method for the treatment and/or prophylaxis of HIV infection or AIDS in a mammal, said method comprising administering an effective amount of a vaccine as hereinbefore defined for a time and  
20 under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

Yet another aspect of the present invention provides a method for the treatment and/or prophylaxis of HIV infection or AIDS in a mammal, said method comprising  
25 administering an effective amount of a recombinant viral construct as hereinbefore defined for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

The present invention further extends to the use of the subject recombinant viral construct  
30 in the manufacture of a medicament for the treatment and/or prophylaxis of HIV infection.

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PCT/AU99/00989

- 6 -

Yet another aspect of the present invention provides an agent useful for inducing, enhancing or otherwise stimulating an immune response to HIV said agent comprising a recombinant viral construct as hereinbefore defined.

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**BRIEF DESCRIPTION OF THE DRAWINGS**

**Figure 1** is a schematic representation of the construction of FPVgag/pol-IFN $\gamma$ .

5 **Figure 2** is a schematic representation of the construction of FPVgag/pol.

**Figure 3** is a graphical representation of the safety of FPVgag/pol-IFN $\gamma$  immunisation.

A. No significant fever was documented following FPVgag/pol-IFN $\gamma$  vaccination of macaques. Animals M9 and M10 ( $\blacklozenge, \blacksquare$ ) received FPVgag/pol-IFN $\gamma$   $10^8$  PFU IM, animal  
10 M7 ( $\Delta$ ) received FPVgag/pol, and animals M2, M3, M4 and M5 ( $\circ$ ) were unvaccinated controls. B. No change in T cell or monocyte counts was observed following FPVgag/pol-IFN $\gamma$  vaccination of macaques. PBMC obtained from animals vaccinated with FPVgag/pol-IFN $\gamma$  (M9, M10) or FPVgag/pol (M7) were stained for CD4 $^+$  T cells, CD8 $^+$  T cells and CD14 $^+$  monocytes prior to vaccination ( $\Delta$ , 6 times over 8 months prior  
15 to vaccination, mean  $\pm$  SD shown) on the day of vaccination ( $\circ$ ) and following vaccination ( $\blacksquare$ , weekly for 4 weeks following vaccination, mean  $\pm$  SD shown).

**Figure 4** is a graphical representation of enhanced gag-specific Th1 response following FPVgag/pol-IFN $\gamma$  vaccination of macaques. A. T cell proliferative response to p24  
20 antigen was measured serially following 2 vaccinations (arrows) of macaques with FPVgag/pol-IFN $\gamma$  (animals M9, M10, solid and hatched bars) or FPVgag/pol vaccinations of macaques (animal M7, open bars). B. Secretion of IFN- $\gamma$  and IL-4 by PBMC in response to recombinant HIV-1<sub>SF2</sub>p24 protein stimulation obtained before and after the first FPV vaccination. FPVgag/pol-IFN $\gamma$  vaccinated macaques (M9 and M10) and a  
25 FPVgag/pol immunised animal (M7) is shown.

- 8 -

**Figure 5** is a graphical representation of enhanced Gag/pol specific CTL response following FPVgag/pol-IFN $\gamma$  vaccination. Quantification of CTL precursors to Env and Gag/pol antigens was analysed following FPV vaccinations. CTL frequencies were assessed following initial and booster FPV vaccinations (arrows). Recognition of control  
5 targets expressing vaccinia antigens alone have been subtracted.

**Figure 6** is a photographic representation of Gag/pol specific antibodies are enhanced following FPVgag/pol vaccination. Western blotting of serial plasma (1:100 dilution) from animals M7, M9 and M10 following FPV vaccinations (arrows). Strips are labelled  
10 with weeks prior to or following the first and second vaccinations. Negative and positive controls represent uninfected and HIV-1 infected humans respectively.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The present invention is predicated, in part, on the determination that the immune response to HIV, and in particular HIV-1, can be enhanced when vaccination is performed  
5 utilising a recombinant viral construct comprising both a nucleic acid molecule encoding one or more protective HIV antigens, such as gag/pol, and a nucleic acid molecule encoding a cytokine, such as IFN- $\gamma$ .

Accordingly, one aspect of the present invention provides a recombinant viral construct  
10 comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding one or more HIV antigens or derivatives thereof and a second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said HIV antigen.

15

Reference herein to "HIV" should be understood as including reference to any HIV strain including homologues and mutants. In a particularly preferred embodiment said HIV is HIV-1.

20 According to this preferred aspect of the present invention there is provided a recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding one or more HIV-1 antigens or derivatives thereof and a second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said recombinant viral construct is effective in inducing,  
25 enhancing or otherwise stimulating an immune response to said HIV-1 antigen.

Reference to "inducing, enhancing or otherwise stimulating" an immune response to an HIV-1 antigen should be understood as stimulating or facilitating the stimulation of a specific immune response. The specific immune response may be a T-cell and/or humoral  
30 response which is directed to any one or more peptides or epitopes, respectively, of the HIV antigen encoded by the nucleotide sequence comprising the recombinant viral

- 10 -

construct of the present invention. Preferably, the immune response is a Th-1 and CTL response. However, even where an immune response is skewed to a Th-1 type response, some degree of antibody generation may nevertheless occur.

- 5 Reference to "HIV antigen or derivative thereof" should be understood as a reference to any component of HIV or derivative thereof. Said component may be a peptide, polypeptide, protein or non-proteinaceous fragment such as a carbohydrate. It should be understood that the antigen may comprise one or more sites in respect of which a specific immune response is stimulated. For example, processing of the antigen by an antigen  
10 presenting cell may result in the production of one or more peptides which are co-expressed with MHC class II and which stimulate specific T helper cells. Similarly, the processing and co-expression of said peptides together with MHC class I may lead to the stimulation of one or more specificities of T cytotoxic cells. Said antigen may also comprise one or more epitopes to which a humoral immune response may be directed.
- 15 Said epitope may be a linear or a conformational epitope. Where the epitope is a linear epitope, folding of the expressed antigen into its native conformation may not be required to achieve the stimulation of a specific humoral response directed to that epitope. Said antigen may for example comprise only one epitope and may take the form of a hapten. However its co-expression with a cytokine, in accordance with the present invention, may  
20 be sufficient to render said hapten immunogenic and therefore suitable for use in the present invention.

Accordingly, it should be understood that reference to stimulating a response to an HIV  
"antigen" should be understood as a reference to the stimulation of specific immune cells  
25 (i.e. T cells and/or B cells) which are directed to one or more sites of the HIV antigen. Examples of antigens suitable for use in the present invention include, but are not limited to, one or more of the molecules encoded by the HIV viral genes *gag*, *pro*, *pol* and *env*. The expression product of each gene is given the same name, but in normal type with the first letter capitalized. Preferably said HIV antigens are the HIV-1 Gag and/or Pol  
30 molecules or derivatives thereof.

- 11 -

According to this preferred embodiment there is provided a recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag and/or Pol or derivatives thereof and the second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein  
5 said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said Gag and/or Pol.

Reference to "cytokine" is a reference to any cytokine or derivative thereof which is capable of modulating the stimulation of an immune response. For example, said cytokine  
10 may induce, up-regulate, enhance or maintain an immune response. Particularly preferred cytokines are those which either support a Th1 response, a CTL response or skew a response towards a Th1 type response, for example, IL-2 and  $\gamma$ -interferon or functional equivalents thereof. Preferable said cytokine is  $\gamma$ -interferon.

15 Accordingly, in a particularly preferred embodiment there is provided a recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag and/or Pol or derivatives thereof and a second nucleic acid molecule encoding interferon- $\gamma$  or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or  
20 otherwise stimulating an immune response to said Gag and/or Pol.

Avipox viral vectors suitable for use in the present invention may comprise the whole or part of any avipox virus or derivative thereof. The present invention should be understood to include derivatives such as modified virus, for example virus which has been  
25 attenuated. Examples of avipox viruses suitable for use in the present invention include, but are not limited to, fowl pox virus and canary pox virus. Preferably said avipox virus is fowl pox virus.

In a most preferred embodiment there is provided a recombinant viral construct,  
30 comprising a fowl pox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag and/or Pol or derivatives thereof and a

- 12 -

second nucleic acid molecule encoding interferon- $\gamma$  of functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said Gag and/or Pol.

5 Reference to "derivatives" should be understood to include fragments, parts, portions, equivalents, analogs, mutants, homologs, mimetics from natural, synthetic or recombinant sources including fusion proteins. Derivatives may be derived from insertion, deletion or substitution of amino acids. Amino acid insertional derivatives include amino and/or carboxylic terminal fusions as well as intrasequence insertions of single or multiple amino  
10 acids. Insertional amino acid sequence variants are those in which one or more amino acid residues are introduced into a predetermined site in the protein although random insertion is also possible with suitable screening of the resulting product. Deletional variants are characterized by the removal of one or more amino acids from the sequence. Substitutional amino acid variants are those in which at least one residue in the sequence  
15 has been removed and a different residue inserted in its place. Additions to amino acid sequences including fusions with other peptides, polypeptides or proteins.

The derivatives include fragments having particular epitopes or parts of the entire protein fused to peptides, polypeptides or other proteinaceous or non-proteinaceous molecules.  
20 For example, the vector or derivative thereof may be fused to a molecule to facilitate its entry into a cell. Derivatives of nucleic acid sequences may be derived from single or multiple nucleotide substitutions, deletions and/or additions including fusion with other nucleic acid molecules. The derivatives of the nucleic acid molecules of the present invention include oligonucleotides, PCR primers, antisense molecules and fusion of  
25 nucleic acid molecules.

Equivalents should be understood to include reference to molecules which can act as a functional analog or agonist. Equivalents may not necessarily be derived from the subject molecule but may share certain conformational similarities. Alternatively, equivalents  
30 may be designed to mimic certain immunological and physiochemical properties of the subject molecule. Equivalents may be detected following, for example, natural product

- 13 -

screening. Equivalents also include peptide mimics. Homologs contemplated herein include, but are not limited to, molecules derived from different species. Fragments include portions which are effective in achieving the object of the present invention.

- 5 The nucleic acid molecule suitable for use in the present invention may be DNA or RNA. Preferably said nucleic acid molecule is DNA. Reference to the cytokine or HIV antigen encoded by a nucleic acid molecule is a reference to the expression product of said nucleic acid molecule.
- 10 Without limiting the present invention to any one theory or mode of action, it is thought that administration of the recombinant construct of the present invention enhances the phenotype and magnitude of the HIV specific T-cell response. It may also result in expansion of the T-cell repertoire directed to the T-cell antigen comprising the construct of the present invention. A protective immune response against HIV-1 (specifically against
- 15 the HIV-1 antigen comprising the construct) is therefore stimulated in individuals administered the recombinant viral construct of the present invention.

- Administration of the subject viral construct in the form of a pharmaceutical composition, may be performed by any convenient means. The viral construct or agent of the
- 20 pharmaceutical composition are contemplated to exhibit therapeutic activity when administered in an amount which depends on the particular case. The variation depends, for example, on the human or animal. A broad range of doses may be applicable. Considering a patient, for example, from about 0.1  $\mu$ g to about 1 mg of construct may be administered per kilogram of body weight per day. Dosage regimes may be adjusted to
- 25 provide the optimum therapeutic response. For example, several divided doses may be administered daily, weekly, monthly or other suitable time intervals or the dose may be proportionally reduced as indicated by the exigencies of the situation. The construct may be administered in any convenient manner such as by the oral, intravenous (where water soluble), intranasal, intraperitoneal, intramuscular, subcutaneous, intradermal or
- 30 suppository routes or implanting (e.g. using slow release molecules).

- 14 -

Accordingly, another aspect of the present invention relates to a vaccine comprising a recombinant viral construct which construct comprises a avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding one or more HIV-antigens or derivatives thereof and a second nucleic acid molecule encoding a  
5 cytokine or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said HIV-antigens.

Preferably said HIV-antigens are HIV-1 Gag and/or Pol. Even more preferably said  
10 cytokine is interferon- $\gamma$ .

According to this preferred embodiment there is provided a vaccine comprising a recombinant viral construct which construct comprises an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding HIV-1 Gag  
15 and/or Pol or derivatives thereof and a second nucleic acid molecule encoding interferon  $\gamma$  or functional derivative thereof wherein said vaccine is effective in inducing, enhancing or otherwise stimulating an immune response to said Gag and/or Pol.

Most preferably said avipox viral vector is a fowl pox viral vector.  
20

Yet another aspect of the present invention provides a pharmaceutical composition for use in inducing, enhancing or otherwise stimulating an immune response to HIV in a mammal comprising a recombinant viral construct as hereinbefore defined and one or more pharmaceutically acceptable carriers and/or diluents. The composition may also comprise  
25 the recombinant viral construct together with a known antiviral compound or molecule.

The pharmaceutical forms suitable for injectable use include sterile aqueous solutions (where water soluble) and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersion. In all cases the form must be sterile and must be fluid  
30 to the extent that easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of



- 15 -

microorganisms such as bacteria and fungi. The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, polyol (for example, glycerol, propylene glycol and liquid polyethylene glycol, and the like), suitable mixtures thereof, and vegetable oils. The proper fluidity can be maintained, for example, by the use of a coating  
5 such as lecithin, by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. The preventions of the action of microorganisms can be brought about by various antibacterial and antifungal agents, for example, parabens, chlorobutanol, phenol, sorbic acid, thimerosal and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars or sodium chloride. Prolonged  
10 absorption of the injectable compositions can be brought about by the use in the compositions of agents delaying absorption, for example, aluminum monostearate and gelatin.

When the active ingredients are suitably protected they may be orally administered, for  
15 example, with an inert diluent or with an assimilable edible carrier, or it may be enclosed in hard or soft shell gelatin capsule, or it may be compressed into tablets, or it may be incorporated directly with the food of the diet. For oral therapeutic administration, the active compound may be incorporated with excipients and used in the form of ingestible tablets, buccal tablets, troches, capsules, elixirs, suspensions, syrups, wafers, and the like.  
20 Such compositions and preparations should contain at least 1% by weight of active compound. The percentage of the compositions and preparations may, of course, be varied and may conveniently be between about 5 to about 80% of the weight of the unit. The amount of active compound in such therapeutically useful compositions is such that a suitable dosage will be obtained. Preferred compositions or preparations according to the  
25 present invention are prepared so that an oral dosage unit form contains between about 0.1  $\mu$ g and 2000 mg of active compound.

The tablets, troches, pills, capsules and the like may also contain the following: A binder such as gum tragacanth, acacia, corn starch or gelatin; excipients such as dicalcium  
30 phosphate; a disintegrating agent such as corn starch, potato starch, alginic acid and the like; a lubricant such as magnesium stearate; and a sweetening agent such as sucrose,

- 16 -

lactose or saccharin may be added or a flavouring agent such as peppermint, oil of wintergreen, or cherry flavouring. When the dosage unit form is a capsule, it may contain, in addition to materials of the above type, a liquid carrier. Various other materials may be present as coatings or to otherwise modify the physical form of the dosage unit. For instance, tablets, pills, or capsules may be coated with shellac, sugar or both. A syrup or elixir may contain the active compound, sucrose as a sweetening agent, methyl and propylparabens as preservatives, a dye and flavouring such as cherry or orange flavour. Of course, any material used in preparing any dosage unit form should be pharmaceutically pure and substantially non-toxic in the amounts employed. In addition, the active compound may be incorporated into sustained-release preparations and formulations.

Pharmaceutically acceptable carriers and/or diluents include any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents and the like. The use of such media and agents for pharmaceutical active substances is well known in the art. Except insofar as any conventional media or agent is incompatible with the active ingredient, use thereof in the therapeutic compositions is contemplated. Supplementary active ingredients can also be incorporated into the compositions.

It is especially advantageous to formulate parenteral compositions in dosage unit form for ease of administration and uniformity of dosage. Dosage unit form as used herein refers to physically discrete units suited as unitary dosages for the mammalian subjects to be treated; each unit containing a predetermined quantity of active material calculated to produce the desired therapeutic effect in association with the required pharmaceutical carrier. The specification for the novel dosage unit forms of the invention are dictated by and directly dependent on (a) the unique characteristics of the active material and the particular therapeutic effect to be achieved, and (b) the limitations inherent in the art of compounding such an active material for the treatment of disease in living subjects having a diseased condition in which bodily health is impaired as herein disclosed in detail.

- 17 -

The principal active ingredient is compounded for convenient and effective administration in effective amounts with a suitable pharmaceutically acceptable carrier in dosage unit form as hereinbefore disclosed. A unit dosage form can, for example, contain the principal active compound in amounts ranging from 0.5  $\mu\text{g}$  to about 2000 mg. Expressed  
5 in proportions, the active compound is generally present in from about 0.5  $\mu\text{g}$  to about 2000 mg/ml of carrier. In the case of compositions containing supplementary active ingredients, the dosages are determined by reference to the usual dose and manner of administration of the said ingredients.

10 Still another aspect of the present invention provides a method of inducing, enhancing or otherwise stimulating an immune response, in a mammal, to HIV said method comprising administering to said mammal an effective amount of a vaccine as hereinbefore defined for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

15

Preferably, said HIV is HIV-1.

Still another aspect of the present invention provides a method of inducing, enhancing or otherwise stimulating an immune response, in a mammal, to HIV said method comprising  
20 administering to said mammal an effective amount of a recombinant viral construct as hereinbefore defined for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

Preferably, said HIV is HIV-1.

25

Yet another aspect of the present invention provides a method of treating a mammal, said method comprising administering to said mammal an effective amount of a vaccine as hereinbefore defined for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

30

Preferably said HIV antigens are HIV-1 antigens.

- 18 -

Yet another aspect of the present invention provides a method of treating a mammal, said method comprising administering to said mammal an effective amount of a recombinant viral construct as hereinbefore defined for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

5

Preferably said HIV antigens are HIV-1 antigens.

Reference to "mammal" should be understood to include all mammals including primates (e.g. humans, monkeys), livestock animals (e.g. sheep, cows, horses, donkeys, goats, 10 pigs), laboratory test animals (e.g. rats, guinea pigs, rabbits, hamsters), companion animals (e.g. dogs, cats), and captive wild animals (e.g. kangaroos, deer, foxes). Preferably, said animal is a primate and even more preferably a human.

The method of the present invention is useful in the treatment and/or prophylaxis of HIV 15 infection and AIDS. For example, the vaccine of the present invention may be administered into subjects known to be infected with HIV in order induce an immune response against HIV thereby preventing the onset of AIDS. Alternatively, the method of the present invention may be used to reduce serum viral load, to alleviate AIDS symptoms or to induce immunity in mammals thought to be at risk of HIV infection.

20

The method of the present invention may be particularly useful either early in HIV infection to prevent the establishment of a viral reservoir or for a period after exposure to a possible source of HIV infection.

25 Yet another aspect of the present invention provides a method for the treatment and/or prophylaxis of HIV infection or AIDS in a mammal, said method comprising administering an effective amount of a vaccine as hereinbefore defined for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.

30

Preferably said HIV antigens are HIV-1 antigens.

- 19 -

Still yet another aspect of the present invention provides a method for the treatment and/or prophylaxis of HIV infection or AIDS in a mammal, said method comprising administering an effective amount of a recombinant viral construct as hereinbefore defined for a time and under conditions sufficient to induce, enhance or otherwise stimulate an  
5 immune response to one or more HIV antigens.

Preferably said HIV antigens are HIV-1 antigens.

An "effective amount" means an amount necessary at least partly to attain the desired  
10 immune response, or to prevent or to delay the onset or inhibit progression or halt altogether, the onset or progression of a particular condition being treated. This amount varies depending upon the health and physical condition of the individual to be treated, the taxonomic group of individual to be treated, the capacity of the individual's immune system to synthesise antibodies, the degree of protection desired, the formulation of the  
15 vaccine, the assessment of the medical situation, and other relevant factors. It is expected that the amount will fall in a relatively broad range that can be determined through routine trials.

Reference herein to "treatment" and "prophylaxis" is to be considered in its broadest  
20 context. The term "treatment" does not necessarily imply that a mammal is treated until total recovery. Similarly, "prophylaxis" does not necessarily mean that the subject will not eventually contract a disease condition. Accordingly, treatment and prophylaxis include amelioration of the symptoms of a particular condition or preventing or otherwise reducing the risk of developing a particular condition. The term "prophylaxis" may be  
25 considered as reducing the severity of onset of a particular condition. "Treatment" may also reduce the severity of an existing condition or the frequency of acute attacks.

In accordance with this method, the vaccine of the present invention may be co-administered with a known anti-viral compound or molecule. Such compounds or  
30 molecules include, but are not limited to, reverse transcriptase inhibitors (for example, Zidovudine or 3TC) or protease inhibitors (for example, Indinavir). By "co-administered"

- 20 -

is meant simultaneous administration in the same formulation or in two different formulations via the same or different routes or sequential administration by the same or different routes. By "sequential" administration is meant a time difference of from seconds, minutes, hours or days between the administration of the vaccine and the known  
5 anti-viral compound or molecule. The vaccine and the known anti-viral compound or molecule may be administered in any order.

Routes of administration include but are not limited to intravenously, intraperitoneally, subcutaneously, intracranially, intradermally, intramuscularly, intraocularly, intrathecally,  
10 intracerebrally, intranasally, infusion via i.v., drip, and implant. Intramuscular routes are particularly preferred.

The present invention further extends to the use of the subject recombinant viral construct in the manufacture of a medicament for the treatment and/or prophylaxis of HIV infection.  
15

Preferably said HIV infection is HIV-1 infection.

Yet another aspect of the present invention provides an agent useful for inducing, enhancing or otherwise stimulating in a mammal, an immune response to HIV said agent  
20 comprising a recombinant viral construct as hereinbefore defined.

Further features of the present invention are more fully described in the following non-limiting Examples. It is to be understood, however, that the detailed description is included solely for the purpose of exemplifying the present invention.  
25

- 21 -

## EXAMPLE 1

### Animals

Macaques (*M. nemestrina*, aged 24-32 months) were free from SRV infection and  
5 anaesthetised with Ketamine (10 mg/kg IM) prior to procedures. The studies were  
approved by the institutional Animal Experimentation and Ethics Committees.

Seven animals were studied that have been previously described [9,10]. Four animals  
(M2, M3, M4, M5) served as controls and received no vaccines during the course of this  
10 study. These 4 animals (M2-5) had been vaccinated with DNA and FPV HIV-1 vaccines  
(not containing cytokines) 11-19 months prior to study and resisted a HIV-1 challenge 9  
months prior to this study. Three animals (M7, M9, M10) had no previous HIV-1  
vaccinations and were infected with HIV-1 following an intravenous challenge with HIV-  
1<sub>LAI</sub> 9 months prior to this study. Two of these animals (M9, M10) received a novel FPV  
15 encoding both gag/pol and human IFN $\gamma$  and one animal (M7) received a FPV vaccine  
encoding gag/pol only. Each FPV vaccine was given IM into the anterior thigh at 10<sup>8</sup>  
PFU in 0.3 ml twice, 3 months apart at 9 and 12 months following HIV-1 infection.

All macaques were previously vaccinated with 3 doses of tetanus toxoid (CSL, Parkville,  
20 Australia) IM prior to HIV-1 vaccinations. Each animal was assessed twice daily,  
following vaccination, for visible swelling or redness at the site of injection and for  
activity by counting a variety of normal macaque behaviours (individual and conspecific  
play, foraging, displacement, mounting and grooming activities) as previously described  
[11]. A 25% reduction in total activity compared to the mean baseline activity in the week  
25 prior to vaccination was considered significant. Daily temperature recordings were  
determined by using an electronic hand held thermometer (Braun Thermoscan) and  
training the animals to have this applied to their tongues for  $\geq 1$  second. This method of  
taking temperatures was found to be 0-0.8°C (mean 0.3°C) lower than rectal temperatures  
taken on sedated macaques using a rectal thermometer on 22 consecutive occasions.

- 22 -

## EXAMPLE 2

### Vaccines

The HIV-1 gag/pol genes with or without the human IFN $\gamma$  gene have been inserted into  
5 the FPV genome, along with the *E. coli lacZ* and *gpt* marker genes, between the FPV  
thymidine kinase (TK) region and the 3' open reading frame (ORF). See Figs 1 and 2.

#### Plasmid constructs

##### A. Plasmids for construction of FPV-gag/pol-IFN $\gamma$

10 Construction of these plasmids is shown in Figure 1.

1. The gag/pol genes of HIV-1, isolate ARV-2/SF2, were excised from pUC19.ARV  
(Chiron Corporation, Emeryville, CA) with SacI and NdeI restriction endonucleases. This  
fragment (corresponding to nucleotides 684-5132, Genbank Accession No. K02007) was  
15 blunt-ended with T4 DNA polymerase and inserted into HincII linearized pBCB07 (12)  
under the control of the vaccinia P7.5 promoter. This plasmid was named pBC07.ARV.

2. The human IFN $\gamma$  gene coding sequence was derived by PCR from plasmid pUC9-2  
template. pUC9-2 contains the 844 bp Sau3A fragment of human IFN $\gamma$  cDNA (13). The  
20 sense PCR primer was 5'-gcttaattctctcgggatc**gatg** (<400>1). This spans nucleotides 89-  
111 of the IFN $\gamma$  cDNA (Genbank Accession No. X13274) with two mismatches introduced  
to generate a San3A site (bold text). The IFN $\gamma$  cDNA start codon is underlined. The  
antisense primer was 5'-attcggatccattacaaaaa**gttgc** (<400>2). This spans nucleotides 751-  
726 of the gene (the antisense strand, downstream of the stop codon at nucleotide 607) with  
25 4 mismatches introduced to generate a BamHI/Sau A site (bold text) and 1 mismatch to  
generate a TTTTNT transcription terminator on the sense strand (underlined).

The PCR product was digested with Sau3A and cloned into the BamHI site of pAF09  
(14). This places the human IFN $\gamma$  gene under the control of the FPV P.E/L bidirectional  
30 promoter, with the start codon of the gene in frame with the P.E/L start codon. The plasmid  
was named pDB42a, and also contains the *E. coli lacZ* and *gpt* marker genes, under the



- 23 -

control of the P.E/L and vaccinia P.7.5 promoters, respectively, and the fowlpox TK gene and 3'ORF regions to facilitate recombination.

3. The gag/pol genes and P7.5 promoter were excised from pBC07.ARV by EcoRI digestion, blunted with T4 polymerase, and cloned into SmaI linearized pDB42a. This plasmid, pDB42a.gag/pol, was used for construction of FPV-gag/pol-h IFN $\gamma$ .

**B. Plasmids for construction of FPV-gag/pol**

Construction of these plasmids is shown in Figure 2.

10

1. The gag/pol genes in HIV-1 were derived by PCR with pUC19.ARV (see 1 above) as template. The sense PCR primer was 5'-taattat**cgataataaatgggtgcgagagcg** (<400>3). This contains sequence from the P.E/L promoter and nucleotides 791-805 of the HIV-1 gag/pol gene (Genbank Accession No. K02007). The start codon of the gag gene product is underlined the bold text indicates a ClaI site. The antisense primer was 5'-aaaggatccttagctttcttaaaaaaacatatgg (<400>4). This spans nucleotides 5164-5128 of the gene (on the antisense strand, downstream of the pol stop codon at nucleotide 5101). Two mismatches were introduced to generate a BamHI site (bold text) and 4 mismatches to generate 2 overlapping TTTTNT transcription terminators on the sense strand (underlined).
- 15
- 20

The PCR product was digested with ClaI and BamHI and cloned between the ClaI and BamHI sites of pAF04 (D. Boyle, personal communication). This places the gag/pol genes under the control of the FPV P.E/L bidirectional promoter, aligned so that the native start codon of the gag gene is utilised. This is essential for expression and myristilation of the gag gene product. The plasmid was named pDB43, and also contains the *E. coli gpt* marker gene, under the control of the vaccinia P7.5 promoter, and the FPV TK gene and 3'ORF regions to facilitate recombination.

25

- 24 -

### Virus construction

Recombinant viruses were constructed by standard methods (15), by transfecting FPV-M3 infected chick cells with pDB42a.gag/pol or pDB43. Homologous recombination between both the *tg* gene and the 3' ORF regions of the plasmids and the wild type virus results in insertion of HIV gag/pol and *gpt* genes (with and without the h IFN $\gamma$  and *lacZ* genes) into the virus. The *gpt* gene confers resistance to mycophenolic acid, recombinant viruses were amplified and selected after several rounds of plaque purification in the presence of mycophenolic acid. PCR with primers complementary to sequences flanking the insertion site was used to confirm the absence of wild-type parent virus.

10

### EXAMPLE 3

#### Blood cell counts and plasma biochemistry

To determine whether the vaccine-expressed IFN $\gamma$  resulted in abnormalities in plasma biochemistry or blood cell counts, a battery of biochemical and cellular analyses were performed on serial blood samples from the macaques. Multiparameter biochemical analyses and blood counts were performed on automated machines and counts confirmed manually. White cell counts and differential were confirmed by manual counting. PBMC obtained before and after vaccinations were stained for monocyte and T cell markers and analysed by flow cytometry as previously described [9] using antibodies directed against CD2 (Leu5-PE, Becton Dickinson, San Jose, CA), CD4 (OKT4-FITC, Ortho Diagnostics, Raritan, NJ), CD8 (Leu2a-FITC, Becton Dickinson), and CD14.

20

### EXAMPLE 4

#### HIV-1 Antibody and Th responses

25

Plasma was assessed for HIV-1 antibodies by particle agglutination (Serodia-HIV, Fujirebio, Japan) and Western blotting using 200 $\mu$ g of standard mixed HIV-1 protein stock [9]. Lymphoproliferative responses were assessed by standard  $^3$ -H-thymidine incorporation assay as described [9]. Macaque PBMC in triplicate wells at 10<sup>5</sup> cells/well were stimulated for 6 d with 10 $\mu$ g/ml of recombinant HIV-1<sub>SF2</sub> gp120 or HIV-1<sub>SF2</sub>p24

30

- 25 -

(Chiron) in media containing 10% autologous heat-inactivated serum and pulsed with  $^3\text{H}$ -thymidine before  $\beta$ -counting. PBMC were also incubated with media alone or media supplemented with  $10\mu\text{g/ml}$  culture derived control antigens to assess unstimulated control responses, and stimulated with PHA ( $10\mu\text{g/ml}$ ) or tetanus toxoid antigen ( $0.01\text{ Lf/ml}$ ) as positive mitogenic and antigenic control responses. Proliferation is expressed as stimulation index (SI, mean  $^3\text{H}$ -thymidine incorporation of cells stimulated with antigen/mean incorporation in absence of antigenic stimulation). Supernatants from selected lymphoproliferative cultures were assayed for the presence of IL-4 and IFN $\gamma$  by EIA (Genzyme, Cambridge, MA).

10

### EXAMPLE 5

#### Quantitative HIV-specific CTL analyses

Analysis of CTL precursor frequencies to HIV-1 Env and Gag/Pol antigens in macaque PBMC of macaques was performed by a limiting dilution analysis [9]. PBMC were plated in 96 well round-bottomed plates in 7 serial 1.5-fold dilutions of  $10^5$  to  $8.8 \times 10^3$  cells/well in 24 replicates. Each well was stimulated with  $10^4$  autologous PBMC infected with a recombinant vaccinia virus expressing HIV-1<sub>LAI</sub>Env/Gag/Pol antigens and supplemented with 10U/ml rIL-2 (Hoffman-La Roche, Nutley, NJ) every 3-4 d. After 10-14 d, cells in each well were assayed for cytolytic activity against autologous target cells infected with wild type vaccinia or recombinant vaccinia expressing HIV-1<sub>LAI</sub>Env antigens or HIV-1<sub>LAI</sub>Gag/Pol. Wells were considered positive if cytotoxicity exceeded the mean spontaneous release from that target by 3 SD. CTL frequencies and 95% confidence intervals were determined by maximum likelihood analysis with software provided by S Kalams, Harvard Medical School [16]. Target cells were autologous B lymphoblastoid cell lines (BLCL), established from each macaque by infecting PBMC with *H. papio*, a baboon herpesvirus [9]. BLCL could not be transformed with PBMC of one control animal (M4) and could not be maintained in long term culture from one vaccinated animal (M10) and CTL data could not be generated from those animals.

- 26 -

**EXAMPLE 6****Analysis of HIV-1 DNA and viral levels**

HIV-1 *gag* and HLA-DQ DNA were amplified from extracted DNA from PBMC samples  
5 and quantified using primer pairs SK38/39 and GH26/27 (Gibco-BRL) respectively using  
PCR conditions as described [9]. DNA from  $10^5$  PBMC was standardised according to the  
DQ band density in comparison to 8E5 cell DNA (which contains 1 HIV-1 DNA  
copy/cell) and confirmed by measuring absorbance on a spectrophotometer (Ultrospec  
3000, Pharmacia Biotech) at 260nm. Virus isolation was performed by cocultivating  $10^6$   
10 macaque PBMC with  $10^6$  PHA-stimulated pooled human PBMCs and 50U/ml IL-2. Fresh  
media and IL-2 were added to the cultures twice weekly and PHA-stimulated human  
PBMC added weekly for 4 weeks. HIV-1 was quantified in cultures supernatants by HIV-  
1 p24 EIA (Abbott Laboratories, Abbott Park, IL).

15

**EXAMPLE 7****Safety of FPV expressing IFN $\gamma$** 

Locally delivered cytokines encoded by viral vectors are generally less toxic than  
systemically administered cytokines [8]. We analysed the reactogenicity of FPVgag/pol-  
20 IFN- $\gamma$  in comparison to 4 matched controls not immunized and a control animal receiving  
FPVgag/pol-IFN $\gamma$  only. A high dose of the FPV vaccines was administered ( $10^8$  FPU) in  
an attempt to detect any significant adverse effects. A 44-75% reduction in activity of all  
3 FPV-immunised macaques was observed for the first 24 hrs following vaccination, and  
in one of two FPVgag/pol-IFN $\gamma$  immunised animal (M9), 28% reduction of activity was  
25 present between 24 to 48 hrs, but was normal thereafter in all animals. Swelling at the  
injection site was observed for 1-2 days following vaccinations in all 3 FPV vaccinated  
animals. No fever was documented following the FPV vaccinations (Figure 3a). All  
animals gained weight normally. No change in CD4 $^+$  or CD8 $^+$  T cell subsets, or  
monocyte levels in PBMC were observed following vaccination by (Figure 3b).  
30 Additionally, no significant changes in plasma electrolytes, renal function as assessed by  
plasma creatinine and urea, liver function markers, haemoglobin, white cell counts or

- 27 -

platelet counts were observed following FPVgag/pol or FPVgag/pol-IFN $\gamma$  vaccination.

### EXAMPLE 8

#### T cell immunogenicity

5

To determine whether vaccination with FPVgag/pol-IFN $\gamma$  enhanced Gag/pol specific Th responses, macaques infected with HIV-1 9 months previously were vaccinated twice with FPVgag/pol-IFN $\gamma$  (2 animals, M9 and M10) or FPVgag/pol (1 animal, M7). Th proliferative response to p24 Gag protein was enhanced 4-7 fold 1-2 weeks after the first  
10 FPVgag/pol-IFN $\gamma$  vaccination and was greater than baseline levels 3 months later (Figure 4a). Following a second FPVgag/pol-IFN $\gamma$  vaccination, p24-specific Th responses were further boosted above baseline (5-30 fold) and maintained for at least a further 2 months. The animal which received 2 FPVgag/pol immunisations had a 3 fold enhancement of p24-specific Th response. Tetanus-specific Th responses did not change following FPV  
15 vaccinations (<3 fold variation over time). The Th responses to Gag or tetanus antigens of 4 control macaques (M2, M3, M4, M5) did not change, with a <2 fold variation over the 4 month observation period (means SI to p24 was 3.2 and to Tetanus toxoid 3.6).

We also assessed whether FPVgag/pol-IFN $\gamma$  vaccination of HIV-1 infected animals was  
20 associated with a change in the phenotype of Gag-specific Th response. Enhanced IFN $\gamma$  secretion, but not IL-4 secretion, by Gag-specific Th responses from PBMC of animals receiving both FPVgag/pol and FPVgag/pol-IFN $\gamma$  was observed, with the magnitude of the modulation of the cytokine secretion being greater in the FPVgag/pol-IFN $\gamma$  immunised animals (Figure 4b). No change in the tetanus-specific Th phenotype from animals M7,  
25 M9 and M10 was observed following FPV vaccinations, with IL-4 secretion exceeding that of IFN $\gamma$  (by 4-12 fold) both before and 2-6 weeks after FPV vaccinations of all 3 FPV vaccinated animals.

- 28 -

**EXAMPLE 9****HIV-specific CTL activity following FPVgag/pol-IFN $\gamma$  immunisation**

Considerable interest currently focuses on immunisation strategies to maintain CTL  
5 responses in the face of marked reduction in antigenic stimulus from replicating HIV-1 [2,  
5]. HIV-1 specific CTL response in macaques parallel the reduction in HIV-1 DNA  
following the first few months of HIV-1 infection, and in the "latent" phase HIV-1 specific  
CTL responses are low ( $\leq 10$  HIV specific CTLs/ $10^6$  PBMC) [9]. By a limiting dilution  
analysis, CTL precursors to Gag/Pol (but not Env) antigens were enhanced from  $< 5$  to  
10  $15/10^6$  PBMC following one FPVgag/pol-IFN $\gamma$  vaccination and to  $44/10^6$  PBMC  
following a second FPVgag/pol-IFN $\gamma$  vaccination (Figure 3). Gag/Pol or Env specific  
CTLs were not detectably enhanced (remaining  $\leq 5/10^6$  PBMC) in controls animals either  
unvaccinated (M2, M3, M5) or vaccinated with FPVgag/pol-IFN $\gamma$  without IFN $\gamma$  (M7,  
Figure 5).

15

**EXAMPLE 10****HIV-1 levels following vaccination**

To determine whether FPVgag/pol-IFN $\gamma$  vaccination altered HIV-1 viral levels in  
20 macaques previously infected with HIV-1, HIV-1 DNA and culturable virus were studied  
before and after vaccinations. Using *env*-specific primers, animals M7, M9 and M10 had  
 $\leq 10$  copies of HIV-1 DNA/ $10^5$  PBMC 0, 1 and 4 months prior to vaccinations and  
remained at  $\leq 10$  copies of HIV-1 DNA/ $10^5$  PBMC at 1, 2 and 4 weeks following the first  
FPV vaccination, without detectable changes in HIV-1 DNA levels. HIV-1 could not be  
25 recovered from cocultured PBMC from any of the 3 vaccinated FPV animals either prior  
to (weeks 0, -4) or following (weeks +1, +2, +4, +6) vaccination. The cocultured  
method employed has routinely recovered HIV-1 when plasma HIV-1 RNA levels were  
100-400 copies [(9); (10)], suggesting a significant rise in HIV-1 plasma RNA did not  
occur.

- 29 -

**EXAMPLE 11****HIV-1 antibody levels**

Gag/Pol specific antibodies were also enhanced following the 2 FPV vaccinations (Figure 5 6). p24-specific antibodies were enhanced in all 3 vaccinated animals, with no difference observed between the FPVgag/pol-IFN $\gamma$  and FPVgag/pol vaccinated animals. No change in gp120 antibody responses was observed.

Those skilled in the art will appreciate that the invention described herein is susceptible to 10 variations and modifications other than those specifically described. It is to be understood that the invention includes all such variations and modifications. The invention also includes all of the steps, features, compositions and compounds referred to or indicated in this specification, individually or collectively, and any and all combinations of any two or more of said steps or features.

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**CLAIMS:**

1. A recombinant viral construct comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding one or more HIV antigens or derivatives thereof and a second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said HIV antigen.
2. The recombinant viral construct according to claim 1 wherein said HIV is HIV-1.
3. The recombinant viral construct according to claim 2 wherein said HIV-1 antigen is Gag and/or Pol.
4. The recombinant viral construct according to claims 1, 2 or 3 wherein said cytokine is  $\gamma$ -interferon.
5. The recombinant viral construct according to claims 1, 2 or 3 wherein said cytokine is IL-2.
6. The recombinant viral construct according to any one of claims 1-5 wherein said avipox virus is fowl pox virus.
7. The recombinant viral construct according to any one of claims 1-5 wherein said avipox virus is canary pox virus.
8. The recombinant viral construct according to claim 1 wherein said avipox viral vector is fowl pox virus, said HIV-1 antigens are Gag and/or Pol and said cytokine is  $\gamma$ -interferon.

- 32 -

9. A vaccine composition comprising an avipox viral vector or functional derivative thereof which incorporates a first nucleic acid molecule encoding one or more HIV antigens or derivatives thereof and a second nucleic acid molecule encoding a cytokine or functional derivative thereof wherein said recombinant viral construct is effective in inducing, enhancing or otherwise stimulating an immune response to said HIV antigen.
10. The vaccine composition according to claim 9 wherein said HIV is HIV-1.
11. The vaccine composition according to claim 10 wherein said HIV-1 antigen is Gag and/or Pol.
12. The vaccine composition according according to claims 9, 10 or 11 wherein said cytokine is  $\gamma$ -interferon.
13. The vaccine composition according to claims 9, 10 or 11 wherein said cytokine is IL-2.
14. The vaccine composition according to any one of claims 9-13 wherein said avipox virus is fowl pox virus.
15. The vaccine composition according to any one of claims 9-13 wherein said avipox virus is canary pox virus.
16. The vaccine composition according to claim 9 wherein said avipox viral vector is fowl pox virus, said HIV-1 antigens are Gag and/or Pol and said cytokine is  $\gamma$ -interferon.
17. A pharmaceutical composition comprising the recombinant viral construct according to any one of claims 1-8 together with one or more pharmaceutically acceptable carriers and/or diluents.

- 33 -

18. A method of inducing, enhancing or otherwise stimulating, in a mammal, an immune response to HIV said method comprising administering to said mammal an effective amount of the viral construct according to any one of claims 1-8 for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.
19. The method according to claim 18 wherein said HIV is HIV-1.
20. The method according to claim 19 wherein said viral construct is a viral construct according to claim 3.
21. A method of inducing, enhancing or otherwise stimulating, in a mammal, an immune response to HIV said method comprising administering to said mammal an effective amount of the vaccine composition according to any one of claims 9-16 for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.
22. The method according to claim 21 wherein said HIV is HIV-1.
23. The method according to claim 22 wherein said vaccine composition is a composition according to claim 11.
24. A method of treating a mammal, said method comprising administering to said mammal an effective amount of the viral construct according to any one of claims 1-8 for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.
25. The method according to claim 24 wherein said HIV is HIV-1.
26. The method according to claim 25 wherein said viral construct is a viral construct according to claim 3.

- 34 -

27. A method of treating a mammal, said method comprising administering to said mammal an effective amount of the vaccine composition according to any one of claims 1-8 for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.
28. The method according to claim 27 wherein said HIV is HIV-1.
29. The method according to claim 28 wherein said viral construct is a viral construct according to claim 3.
30. A method for the treatment and/or prophylaxis of HIV infection or AIDS in a mammal said method comprising administering to said mammal an effective amount of the recombinant viral construct according to any one of claims 1-8 for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.
31. The method according to claim 30 wherein said HIV is HIV-1.
32. The method according to claim 31 wherein said viral construct is a viral construct according to claim 3.
33. A method for the treatment and/or prophylaxis of HIV infection or AIDS in a mammal said method comprising administering to said mammal an effective amount of the vaccine composition according to any one of claims 9-16 for a time and under conditions sufficient to induce, enhance or otherwise stimulate an immune response to one or more HIV antigens.
34. The method according to claim 33 wherein said HIV is HIV-1.
35. The method according to claim 34 wherein said vaccine composition is a composition according to claim 11.

WO 00/28003

PCT/AU99/00989

- 35 -

36. Use of a recombinant viral construct according to any one of claims 1-8 in the manufacture of a medicament for the therapeutic and/or prophylactic treatment of HIV infection.
37. Use according to claim 36 wherein said HIV is HIV-1.
38. An agent useful for inducing, enhancing or otherwise stimulating, in a mammal, an immune response to HIV said agent comprising a recombinant viral construct according to any one of claims 1-8.

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/AU99/00989 <b>(22) International Filing Date:</b> 9 November 1999 (09.11.99) <b>(30) Priority Data:</b> PP 7007 9 November 1998 (09.11.98) AU <i>09 May 01/3/2004</i> <b>(71) Applicants (for all designated States except US):</b> THE MACFARLANE BURNET CENTRE FOR MEDICAL RESEARCH LIMITED [AU/AU]; Yarra Bend Road, Fairfield, VIC 3078 (AU). COMMONWEALTH SCIENTIFIC AND INDUSTRIAL RESEARCH ORGANISATION [AU/AU]; Limestone Avenue, Campbell, ACT 2612 (AU). THE AUSTRALIAN NATIONAL UNIVERSITY [AU/AU]; Acton, ACT 0200 (AU). BOYLE, David, Bernard [AU/AU]; 6 Mary Place, Leopold, VIC 3224 (AU). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> KENT, Stephen [AU/AU]; 9 St John's Avenue, Camberwell, VIC 3124 (AU). RAMSHAW, Ian, Allister [AU/AU]; 28 Kallara Close, Duffy, ACT 2611 (AU). <b>(74) Agents:</b> SLATTERY, John, M. et al.; Davies Collison Cave, 1 Little Collins Street, Melbourne, VIC 3000 (AU).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> AVIPOX VECTOR CODING AN HIV ANTIGEN AND A CYTOKINE ✓ <b>(57) Abstract</b> <p>The invention relates to a fowl pox virus encoding an HIV antigen (gag and/or pol) and a cytokine (γ-interferon).</p>		

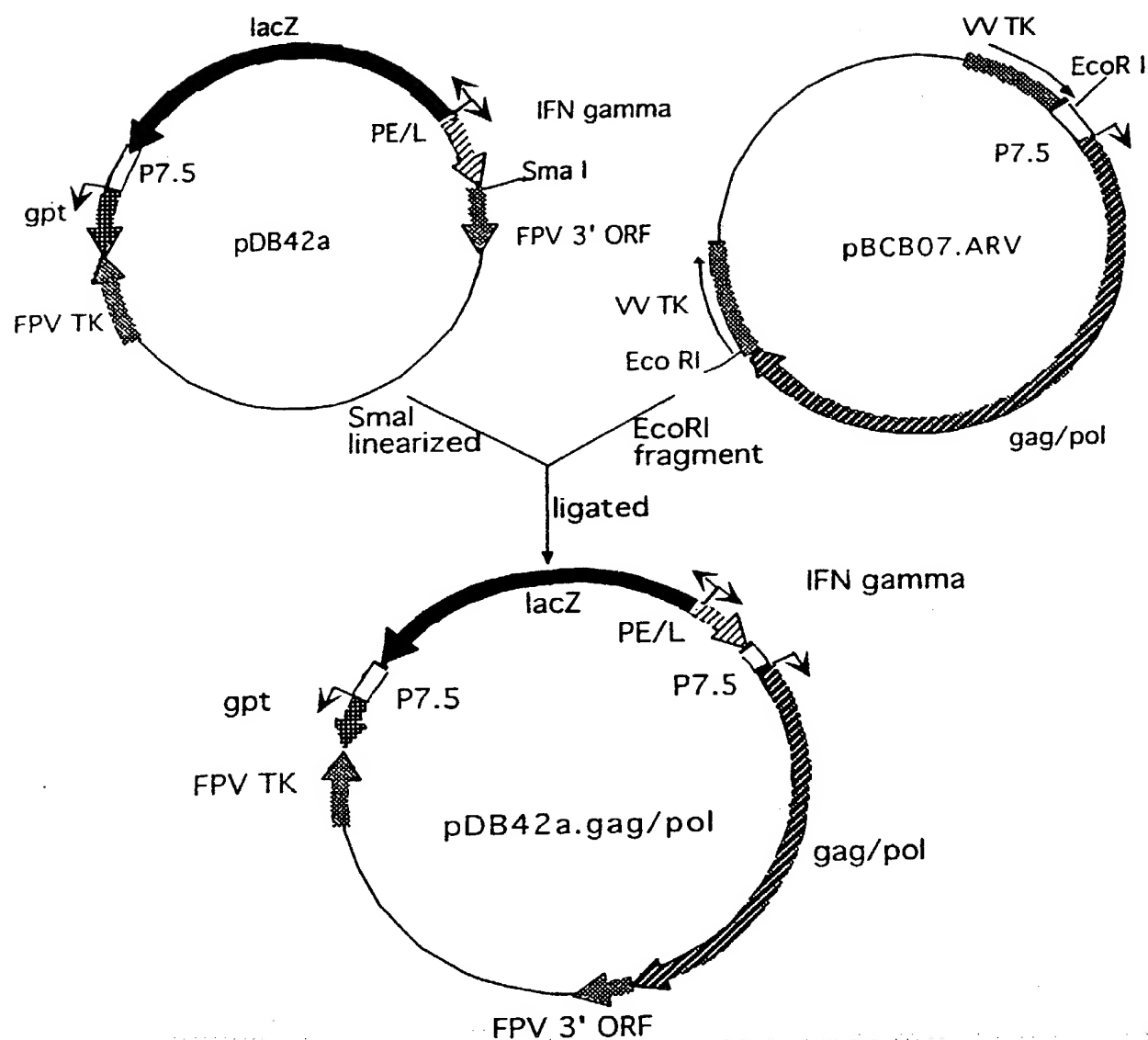


Figure 1a

2/7

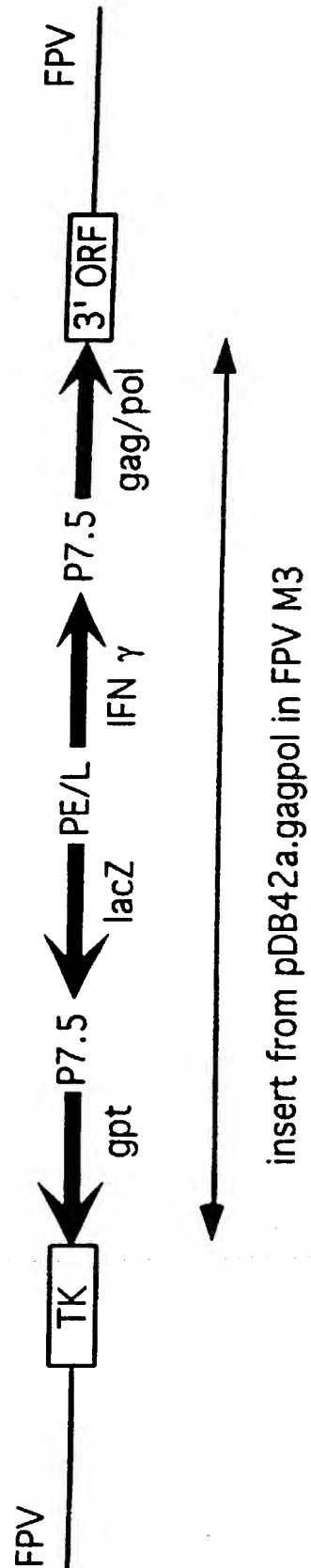


Figure 1b



3/7

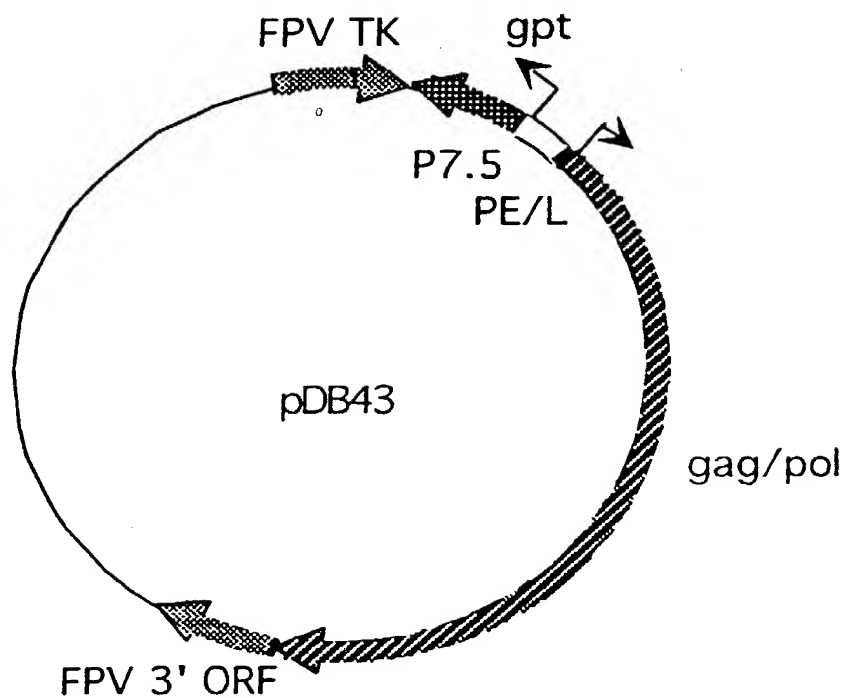


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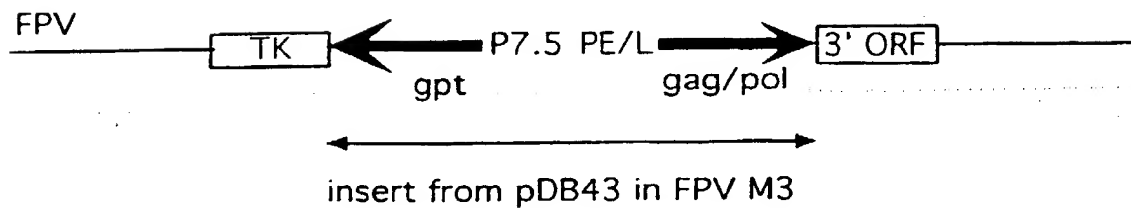


Figure 2b

4/7

Figure 3a

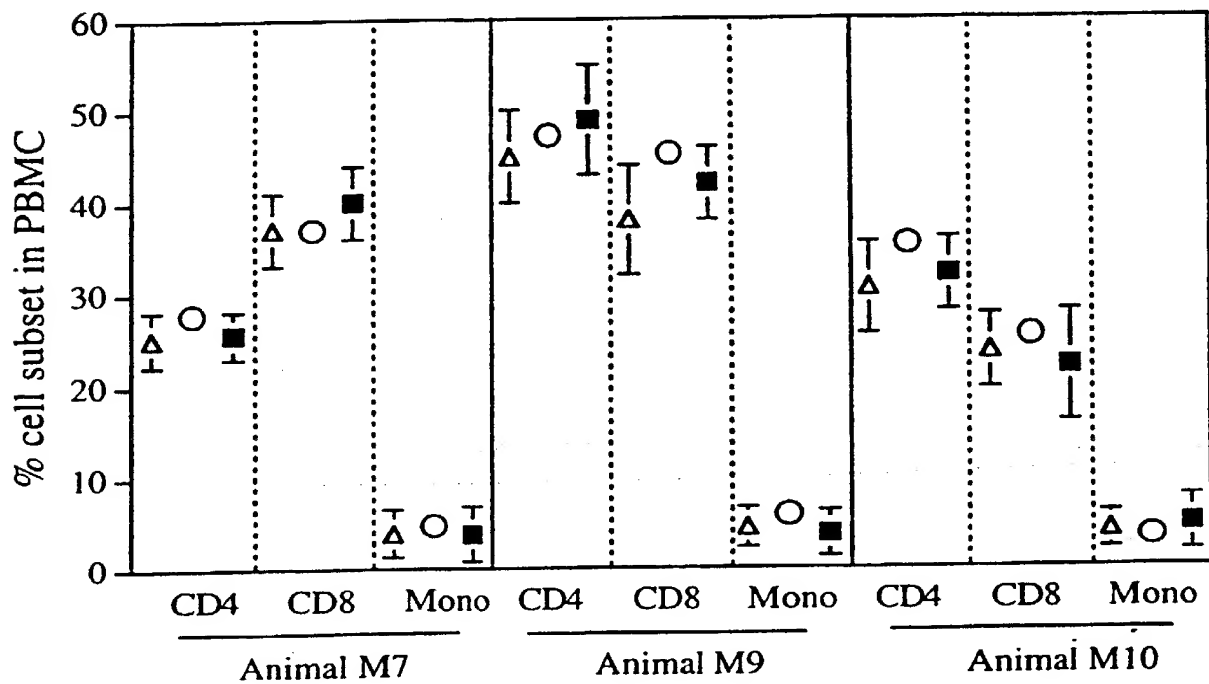
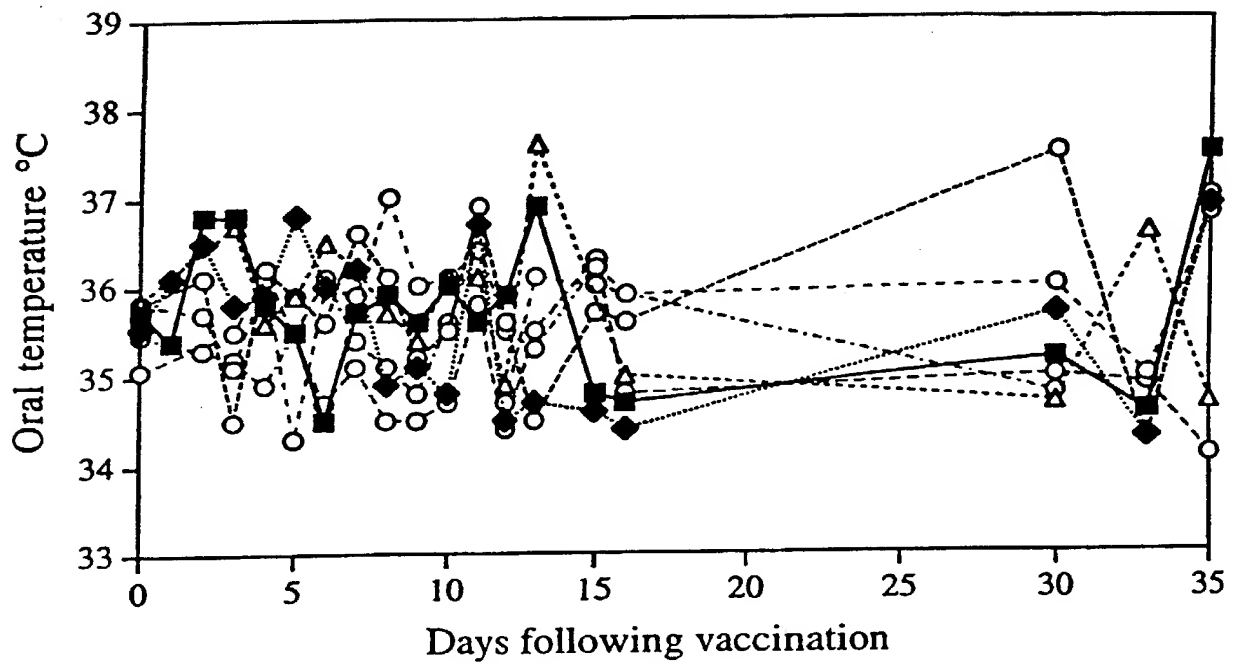


Figure 3b

5/7

Figure 4a

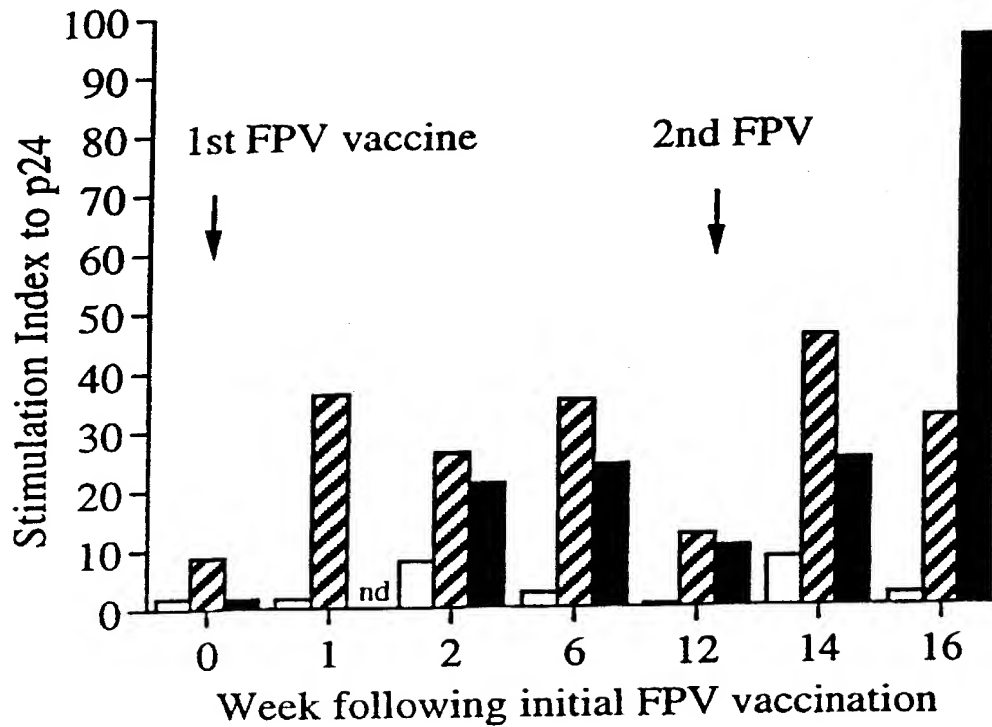
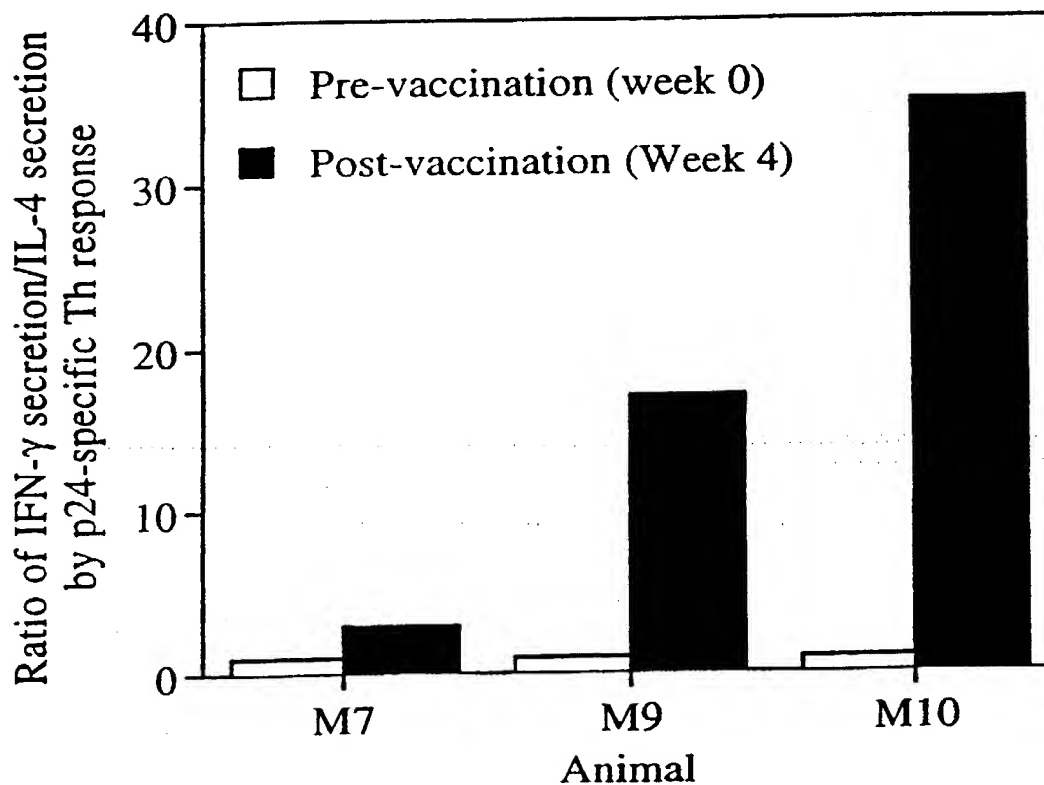


Figure 4b



6/7

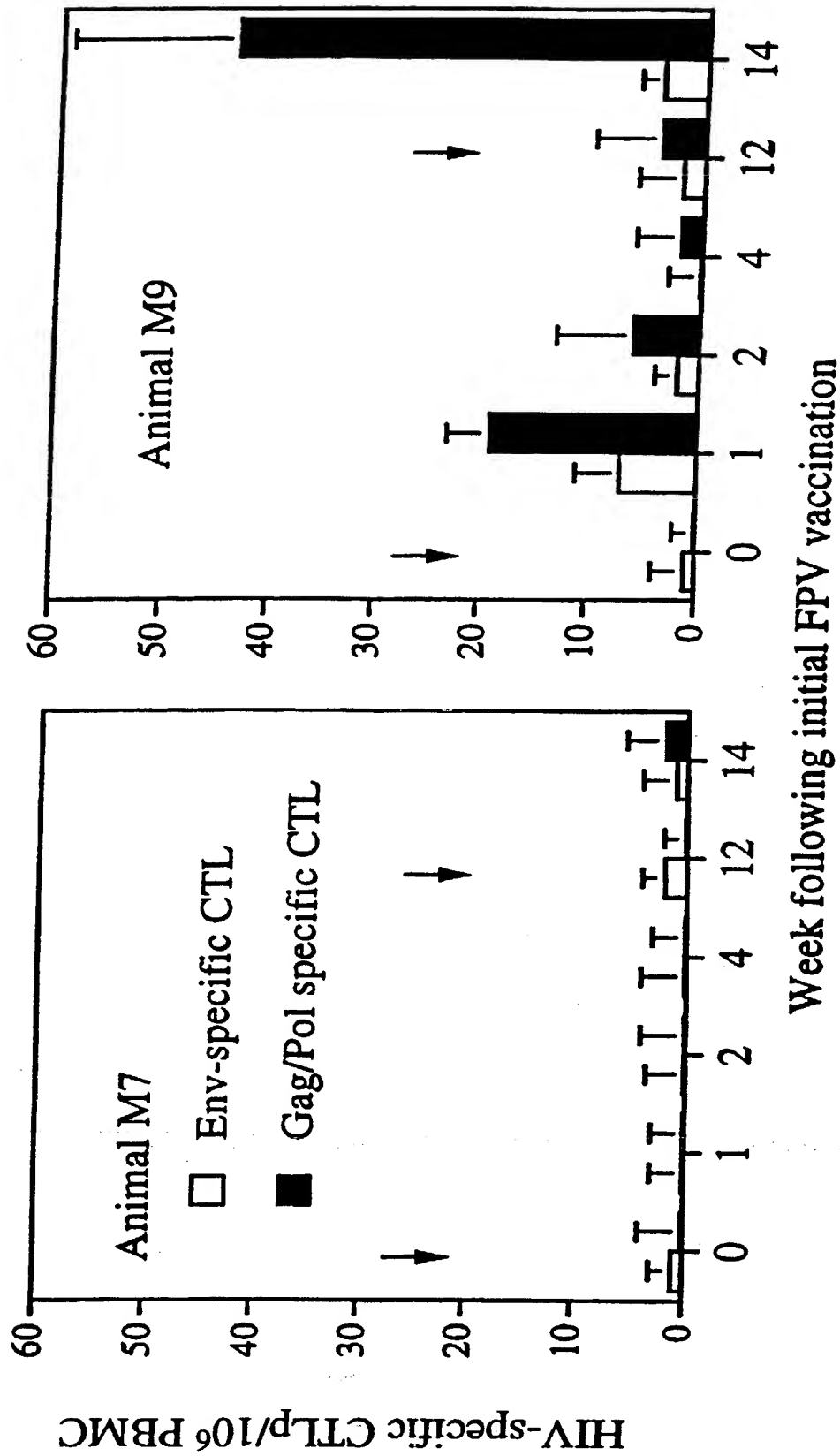


Figure 5

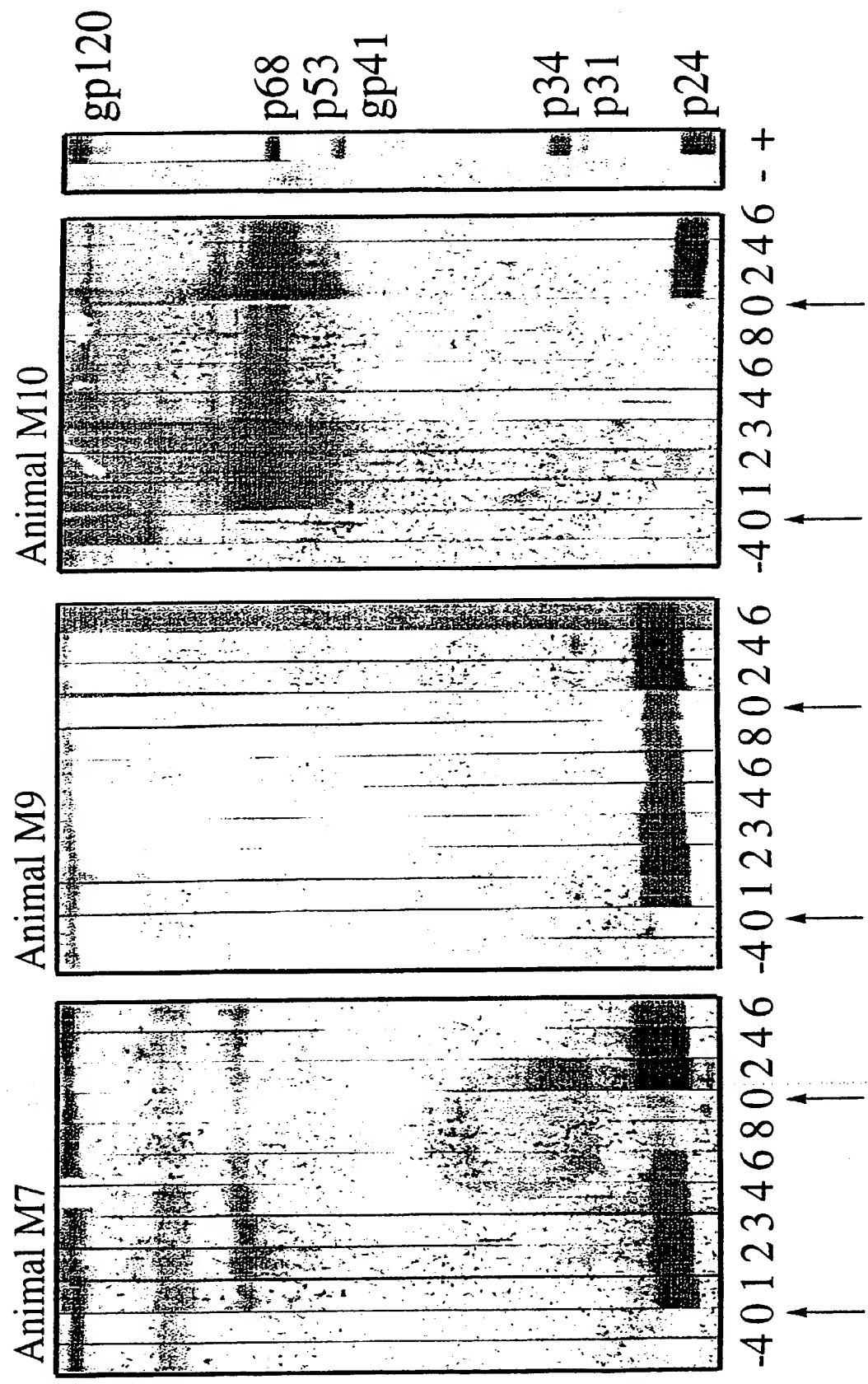


Figure 6



09831307 010702

PATENT  
Docket No. 229752001400**DECLARATION FOR UTILITY PATENT APPLICATION**

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole/joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: A VIPOX VECTOR CODING AN HIV ANTIGEN AND A CYTOKINE, the specification of which is attached hereto unless the following box is checked:

☒ was filed on May 8, 2001 as United States Application Serial No. 09/831,307.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day/month/year)	Priority Claimed?
PP7007/98	Australia	11/9/1998	YES
PCT/AU99/00989	Australia	11/9/1999	YES

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date
*	

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
*		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Please direct all communications to:

Barry E. Bretschneider

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 Citizenship: Australia  
 Post Office Address: Same As Above





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RAMSHAW, IAN

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WO 00/28003

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<110> The Macfarlane Burnet Centre for Medical Research Limited;  
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